



Computer System Maintenance Requirements for Computers Connected to TAAPS

Every firm that accesses the Treasury Automated Auction Processing System (TAAPS) is required to have an IT governance model in place that conforms to industry best practices and is designed to ensure the health and security of these computing assets. Specifically, security and maintenance policies currently in place for the firm's computing assets must be applied to those workstations used to submit bids into the Treasury Auction System. These policies for TAAPS assets, which are highlighted below, and the frequency in which they are executed, should conform to the firm's current IT security protocols or governance model:

- TAAPS workstations receive operating system and security patching on a regular basis;
- TAAPS workstations are scanned on a regular basis for virus detection;
- TAAPS workstations are recycled (powered off/on) on a regular basis;
- TAAPS workstations are replaced in accordance with their defined useful life;
- Only firm-sanctioned software products are deployed to TAAPS workstations;
- Restrictions are in place that mitigate the downloading of malware to TAAPS workstations;
- TAAPS users are required to report any unexpected computer messages, errors and issues to their IT departments;
- Regular reviews of adherence to the IT governance are performed; and,
- Regular reviews and adjustments to the governance model itself.